

MIAX Options Exchange Regulatory Circular 2021-31
MIAX Pearl Options Exchange Regulatory Circular 2021-25
MIAX Emerald Options Exchange Regulatory Circular 2021-28

DATE: June 18, 2021

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Options, MIAX Pearl Options and MIAX Emerald Options for Symbol OPTT Effective Friday, June 18, 2021

Ocean Power Technologies, Inc (“OPTT”) will transfer primary listing from the Nasdaq Global Select Market to the NYSE American Exchange (“AMEX”) effective Friday, June 18, 2021. As set forth in MIAX Options Rule 503(d), MIAX Pearl Rule 503(a)(3) and MIAX Emerald Rule 503(d), the exchanges shall use AMEX as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
OPTT	OCEAN POWER TECHNOLOGIES, INC.	AMEX

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.